Adviser Registration Application

(including Corporate Authorised Representative)

25 June 2025

This form may be used by an Adviser (**you, your**) to apply to HUB24 Custodial Services Ltd (ABN 94 073 633 664, AFSL 239 122) (**HUB24, we, us, our**) for access to the HUB24 Platform via the AdviserHUB portal and to register as an Adviser that is permitted to provide Services in connection with one or more Relevant Products and to distribute Relevant Services.

Note: "Adviser" includes advisers who are employees and authorised representatives of the Licensee.

An Adviser wishing to appoint an Approved User as a Registered User that is authorised to access the HUB24 Platform via the AdviserHUB portal must submit a completed Approved User Registration Application (available by calling HUB24 at 1300 854 994).

Capitalised terms used in this form which are not defined in this form have the meaning given to them in the HUB24 Platform Terms and Conditions (**Licensee Terms**) (copy available from your Licensee, at or by calling HUB24 at 1300 854 994).

Section 1 Licensee details

Licensee name

AFS licence number

Section 2 Adviser details

Title *(tick one ✓)*

☐ Mr ☐ Mrs ☐ Ms ☐ Dr ☐ Other

Given name(s)

Surname

Mobile number

Phone number

Street address

Suburb

State

Postcode

Postal address *(if different to above)*

Suburb

State

Postcode

Email

Position

Authorised representative number

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Section 3 Corporate Authorised Representative details (if applicable)

Company name

Business name *(where applicable)*

Street address

Suburb

State

Postcode

Postal address *(if different to above)*

Suburb

State

Postcode

Email

Corporate authorised representative number

ABN

Section 4 Practice details

Practice name

Note: For Licensees with multiple practices. Only one practice should be listed.

Section 5 Declaration and signature

By signing this form and in consideration for HUB24 providing the Adviser with access to the HUB24 Platform via the AdviserHUB portal and permitting the Adviser to provide Services in connection with one or more of the Relevant Products and to distribute Relevant Services, you:

1. declare that:
   a. you are a representative of the Licensee;
   b. you have appropriate qualifications, training and experience and will notify us immediately if this ceases to be the case;
   c. you have been provided with a copy of the Licensee Terms and Fee Schedule that applies to the Licensee and will not do or omit to do anything that will cause the Licensee to breach these terms.
2. agree to notify us immediately if you cease to be a representative of the Licensee or are disqualified by the Australian Securities and Investments Commission;
3. acknowledge that your application is subject to its acceptance by HUB24 which may be given or withheld by HUB24 in its sole discretion;
4. represent that all details in this form are true and correct; and
5. agrees that HUB24 may suspend or terminate your access to the HUB24 Platform at any time.

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This declaration must be signed in one of the following manners

1. If the Adviser is a company, by:
   a. two directors; or
   b. a director and a company secretary; or
   c. the sole director / sole company secretary; or
   d. a person duly authorised to bind the company (evidence of the person's authority to bind the company must be provided to HUB24's satisfaction).
2. If the Adviser is an individual, by that individual.

HUB24 and the Adviser agree that the Adviser may sign electronically a soft copy of this form and bind itself accordingly. This will satisfy any statutory or other requirements for this document to be in writing and signed by the Adviser. HUB24 and the Adviser intend that:

- any soft copy so signed will constitute an executed original counterpart, and any print-out of the copy with the relevant signatures appearing will also constitute an executed original counterpart; and
- where a party to the agreement provided for under this document prints out this document after all parties that are signing electronically have done so, the first print-out by that party after all signatories who are signing electronically have done so will also be an executed original counterpart of this document.

Signatory 1	**Signatory 2**
Select applicable option ☐ *Director* ☐ *Authorised Person* ☐ *Individual*	*Select applicable option* ☐ *Director* ☐ *Secretary* ☐ *Authorised Person*
Print name	Print name
Position	Position
Signature	Signature
Date	Date

HUB24 recommends that you retain a copy of this form for your records.

Notes:

By signing above, each signatory:

- consents to electronic execution of this document (in whole or in part);
- represents that they hold the position and are the person named with respect to their execution;
- confirms that their signature appearing in this document, including any such print-out (irrespective of which party printed it), is their personal signature authenticating it, which has been authorised by them; and
- authorises any other signatory to produce a copy of this document bearing his or her signature for the purpose of signing the copy to complete its execution. The copy of the signature appearing on the copy so executed is to be treated as his or her original signature.

Section 6 Attachments

Please attach to this form a copy of your authorisation to act as a representative of the relevant Licensee.

Please send this form to us at:
admin@hub24.com.au

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Section 7 Permissions

Access Level	Description of Permissions	Yes	No
Create **PRACTICE** model portfolios	Ability to create Practice Model Portfolio that is usable by all advisers under the Practice	☐	☐
View MY Accounts	View only access to accounts linked under their Adviser Code	☐	☐
View **PRACTICE** Accounts	View only access to accounts linked under the Practice	☐	☐
Edit MY Accounts	Ability to edit client contact information, create an investment plan, drawdown & cash top up and edit adviser preferences on accounts linked under their Adviser Code – No Trading Access	☐	☐
Edit **PRACTICE** Accounts	Ability to edit client contact information, create an investment plan, drawdown & cash top up and edit adviser preferences on accounts linked under the Practice linked accounts – No Trading Access	☐	☐
Trade on MY Accounts	Ability to place Aggregated Trades & Direct Market Orders on accounts linked under their Adviser Code	☐	☐
Trade on **PRACTICE** Accounts	Ability to place Aggregated Trades & Direct Market Orders on account linked under the Practice	☐	☐
Authorise Trades on MY Accounts	Ability to authorise trades placed via Aggregated Trading & Direct Market Trading for accounts linked under their Adviser Code	☐	☐
Authorise Trades on **PRACTICE** Accounts	Ability to authorise trades placed via Aggregated Trading & Direct Market Trading for accounts linked under the Practice	☐	☐
Create WITHDRAWALS ON MY Accounts	Ability to create cash withdrawals on accounts linked under their Adviser Code	☐	☐
Create WITHDRAWALS ON **PRACTICE** Accounts	Ability to create cash withdrawals on accounts linked under the Practice	☐	☐
Create DEPOSITS ON MY Accounts	Ability to create cash deposits on accounts linked under their Adviser Code	☐	☐
Create DEPOSITS ON **PRACTICE** Accounts	Ability to create cash deposits on accounts linked under the Practice	☐	☐
Customise Asset Allocation	Ability to manage customised asset allocations as Practice Administrator	☐	☐
Manage Advisers	Ability to set up Practice Model Portfolios & Exclusions Lists, Create new advisers or additional staff log ins	☐	☐
View Fee Summaries	Ability to view the Monthly fee summary	☐	☐
Edit Approved List	Ability to create & edit an Approved Product List for the Practice	☐	☐

Please note: If no selection is made, the default position is not to grant permission.